



EFFECT OF POSTSURGICAL REHABILITATION PROGRAMMES IN PATIENTS OPERATED FOR LUNG CANCER: A SYSTEMATIC REVIEW AND META-ANALYSIS

Maja S. SOMMER, MHS, PT^{1,2}#, Maja E. B. STAERKIND, MHS, PT³#, Jan CHRISTENSEN, MSPT^{2,4}, Jette VIBE-PETERSEN, MD¹, Klaus R. LARSEN, MD, PhD⁵, Jesper H. PEDERSEN, MD, DMSc⁶ and Henning LANGBERG, MD, DMSc^{2,7}

From the ¹Copenhagen Centre for Cancer and Health, City of Copenhagen, ²CopenRehab, Section of Social Medicine, Department of Public Health, Faculty of Health and Medical Sciences, University of Copenhagen, ³University Hospitals Centre for Health Research (UCSF), Rigshospitalet, ⁴Department of Occupational- and Physiotherapy, Copenhagen University Hospital, ⁵Department of Respiratory Medicine, Bispebjerg University Hospital, ⁶Department of Cardiothoracic Surgery RT, Rigshospitalet and ⁷Department of Public Health, Section of Social Medicine, University of Copenhagen, Copenhagen, Denmark. #These 2 authors contributed equally and should be considered as first authors.

Objective: To review the evidence concerning the effects of postoperative exercise interventions on exercise capacity and health-related quality of life following resection for non-small cell lung cancer, and to review whether different initiation times of exercise produce different effects on exercise capacity.

Data sources: Comprehensive literature search of MEDLINE, Embase, CENTRAL, CINAHL and PEDro.

Study selection: Randomized controlled trials examining the effects of exercise interventions were eligible for inclusion.

Data extraction: Postoperative outcome measurements were extracted and the quality of evidence was graded using Grading of Recommendations Assessment, Development and Evaluation (GRADE) Working Group.

Data synthesis: Four randomized controlled trials were identified involving 262 participants. Short-term follow-up (12–20 weeks) showed significantly higher exercise capacity and physical component of health-related quality of life in the intervention group (standardized mean difference (SMD) 0.48; 95% confidence interval (CI) 0.04–0.93) compared with the control group (SMD 0.50; 95% CI 0.19–0.82). There was no difference between the effect of late- and early-initiated exercise intervention.

Conclusion: Exercise has a small-to-moderate effect at short-term follow-up on exercise capacity and the physical component of health-related quality of life in patients operated for lung cancer. The long-term effects of exercise capacity are unknown. Early-initiated exercise programmes (2 weeks post-operation) did not show an effect on exercise capacity. These findings should be interpreted with caution.

Key words: non-small cell lung cancer; exercise; health-related quality of life.

Accepted Oct 5, 2017; Epub ahead of print Jan 25, 2018

J Rehabil Med 2018; 50: 236–245

Correspondence address: Maja S. Sommer, Copenhagen Centre for Cancer and Health, City of Copenhagen, Norre Allé 45, DK-2200 Copenhagen, Denmark. E-mail: mss@kraeftcenter-kbh.dk; Maja E. B. Staerkind, University Hospitals Centre for Health Research (UCSF), Rigshospitalet, Blegdamsvej 9, DE-2100 Copenhagen, Denmark. E-mail: maja@bohbro-staerkind.dk

Lung cancer is one of the most common malignancies worldwide, and the leading cause of cancer-related death (1). Pulmonary resection is currently the most effective curative treatment when non-small cell lung cancer (NSCLC), stage I, II, IIIA, is diagnosed. Surgery is performed using either an open approach (thoracotomy) or minimally invasive video-assisted thoracoscopic surgery (VATS) (2). Improvements in earlier preoperative staging, better surgical techniques and more effective adjuvant treatment have enhanced survival (3). Lung cancer surgery is associated with morbidity, functional limitations and decreased quality of life (4).

As a result, evidence-based rehabilitation may be a key component to improve outcome and quality of life in these patients (4, 5). An emerging discipline in rehabilitation of lung cancer survivors, exercise intervention, is associated with benefits that may improve the health of long-term cancer survivors and extend survival (6).

Studies demonstrate lower levels of physical activity among individuals diagnosed with NSCLC compared with healthy individuals, yet the physical activity level decreases further during the first 6 months following diagnosis (7).

The increase in population of lung cancer survivors signifies the need to improve their health. Barriers for participating in rehabilitation and maintaining lifestyle changes are, for example, high symptom burden, such as side-effects to the adjuvant treatment, and high prevalence of comorbidity, especially chronic obstructive pulmonary disease (COPD) (8).

Exercise initiated early in the treatment trajectory is found to be beneficial for operable lung cancer patients (9, 10). In a population diagnosed with myocardial infarction, early-initiated exercise in the acute phase has shown greater benefits on exercise capacity than is the case in exercise interventions initiated in a later treatment phase (11).

Systematic reviews investigating the effects of pre- and post-surgical exercise interventions in patients with NSCLC show that exercise may increase exercise capacity of people following lung resection for

NSCLC (6, 12, 13). However, to date, no systematic reviews have been done investigating the effects of post-surgical exercise interventions for patients with NSCLC focusing on high-quality evidence and only post-operative outcomes to eliminate the impact of the surgery (no pre-operative baseline measurements).

Furthermore, no previous reviews have focused on whether the effect would differ due to different initiation times for postoperative exercise in patients with NSCLC. The present review hypothesized that early initiation of exercise (within 2 weeks) following lung resection for NSCLC would increase the effect on exercise capacity compared with later initiation of exercise.

The primary objective was to systematically review the literature for the effect of postoperative exercise interventions on exercise capacity in patients following lung resection for NSCLC. A secondary aim was to review the effect of exercise interventions on health-related quality of life (HRQoL) and to determine whether different initiation times of exercise either enhanced or decreased exercise capacity in patients following lung resection.

METHODS

The protocol for this systematic review was registered in the PROSPERO database (registration number CRD42016027412). The Cochrane Handbook for Systematic Reviews of Interventions (14) and the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement guidelines (15) were applied during preparation of this review.

Inclusion criteria

Randomized controlled trials (RCT) assessing the effects of postoperative exercise interventions in patients undergoing resection for NSCLC, in which participants were allocated to receive exercise compared with a control group, were included. Studies and abstracts published in English, one of the Scandinavian languages, or German were eligible for inclusion. Inclusion criteria were trials with participants receiving any type of lung resection performed with VATS or thoracotomy procedures. To avoid comparison of effect measures in different populations, trials were included only if at least 50% of the population had resectable NSCLC. Trials were included if the interventions comprised any type of exercise involving bodily movements produced by skeletal muscles (aerobic exercise, resistance exercise, ambulation or mobility exercise) initiated within 1 year after lung resection.

Supervised and/or unsupervised exercise performed individually or in groups were also eligible intervention criteria. Type, intensity, frequency and duration of the exercise interventions were not a constraint, but were recorded where possible. Control groups were considered eligible if they contained non-intervention control, usual care, waiting list control or add-on treatments.

Outcomes

VO_{2peak} is considered the gold standard for the measurement of cardiorespiratory fitness and 6MWD is often used in pulmonary rehabilitation programmes to quantify exercise capacity, both

recommended for use in clinical oncology research (16–19). The primary outcome of the present review was any measure of maximum exercise capacity (16). Exercise capacity measures included peak oxygen consumption (VO_{2peak}) and 6-minute walk distance (6MWD), measured as primary or secondary outcomes in the included studies.

The secondary outcome of interest was HRQoL assessed by 1 of the 3 most commonly used questionnaires for thoracic surgery patients: Generic 36-Item Short Form Health Survey (SF-36) (20), cancer-specific European Organization for Research and Treatment of Cancer Quality of Life Questionnaire (EORTC QLQ C-30) (21), and the disease-specific patient-reported outcome Functional Assessment of Cancer Therapy-Lung (FACT-L) (22). The European Society of Thoracic Surgeons defines these questionnaires as the most appropriate for thoracic surgery patients.

Only postoperative outcome measurements were extracted, in order to examine the effect of postoperative exercise eliminating the impact of surgery and to compare effect sizes across studies. The inclusion criteria are summarized in Table I.

Data sources

A Comprehensive literature search of MEDLINE, Embase, CENTRAL, CINAHL and PEDro. The literature search matrix used for MEDLINE (shown in Appendix S1¹) was adapted for use in the other databases (search strategies shown in Appendix SV¹). The Search strategy focused on 2 overall clusters: NSCLC and rehabilitation, with their associated synonyms, combined with AND, as shown in Appendix S1¹. No limitations were used in the electronic searches to avoid unintended exclusion of relevant trials.

In order to identify additional trials all reference lists of all primary studies and review articles were screened. Experts in the field of exercise and NSCLC were contacted in order to identify unpublished research. A search was also carried out of clinicaltrials.gov to identify ongoing, as yet unpublished, trials.

Selection of studies

All references identified were imported into the web-based software platform covidence.org. Selection of trials, risk of bias assessment, and extraction of data were managed with this software. Two review authors (MB, MS) independently

¹<http://www.medicaljournals.se/jrm/content/?doi=10.2340/16501977-2292>

Table I. Inclusion criteria

Design
RCT
Participants
> 18 years old
Both sexes
50% with NSCLC
Undergoing any type of lung resection
Adjuvant chemotherapy or not
Intervention
Aerobic exercise
Resistance training
Ambulation
Mobility exercise
Outcome measures
Exercise capacity
HRQoL

RCT: randomized controlled trial; NSCLC: non-small cell lung cancer; HRQoL: health-related quality of life.

screened titles and abstracts, after which full-text screening was undertaken using the search strategy to determine eligibility for inclusion. Their decisions were recorded and disagreements were resolved by consensus.

Data extraction and management

Two review authors (MB, MS) independently extracted data using a predefined form based on the Cochrane Collaboration's checklist of items to consider in data extraction (14). Data included details of the trials, participant characteristics and results at postoperative time points. Disagreements on extraction of data were resolved by discussion.

Two review authors (MB, MS) independently assessed the risk of bias of all included trials, evaluated as either high, low or unclear risk of bias using the Cochrane Collaboration's tool for assessing risk of bias (14). High risk of bias indicated that there was a high risk that the results would either overestimate or underestimate the true intervention effect, while low risk of bias indicated the opposite. Unclear risk of bias denoted either a lack of information or uncertainty concerning the potential of bias. Disagreements were resolved by discussion, or when necessary, a third review author (JC) was consulted and agreement reached.

Statistical analyses

For continuous outcomes standardized mean differences (SMD) and the corresponding 95% confidence intervals (95% CI) were used in the analysis. To calculate the SMDs the mean change scores and the corresponding standard deviations (SDs) were extracted from the included trials when these were reported. Where mean change scores and/or SDs of the mean change scores were not applied, they were calculated based on the baseline and follow-up means and SDs, as recommended in the Cochrane Handbook (14). The calculated SDs of the mean change scores from baseline were estimated by imputing a correlation coefficient in the formula below to allow the use of paired data (23, 24):

$$SD_{\text{change}} = \sqrt{SD_{\text{baseline}}^2 + SD_{\text{follow-up}}^2 - (2 \times \text{corr} \times SD_{\text{baseline}} \times SD_{\text{follow-up}})}$$

Correlation coefficients were used in the calculation of SDs of mean change scores in the following outcomes: VO_{2peak} $r=0.927$ (25), 6MWD $r=0.93$ (19), SF-36 physical and mental component scores (26) and EORTC QLQ-C30 physical functioning score $r=0.85$ (27).

If there was any discrepancy between the reported mean change scores and the stated baseline, and follow-up data were identified in an article, the mean change scores were also calculated based on the above-mentioned formula.

Cohen's guidelines for interpreting the magnitude of SMD were followed: small SMD=0.2; medium SMD=0.5; and large SMD=0.8 (28).

Authors of the reviewed trials were contacted to provide details of missing data. If the trial authors could not provide the requested information or were unable to comply with the request within 1 month, the review process continued without the information. When baseline and/or follow-up means and SDs were not published, the authors were contacted. Missing individuals from the reported results were recorded, where possible, and results from intention-to-treat analyses were prioritized in the analysis of this review.

Clinical heterogeneity was evaluated by examining diversity in patient populations (e.g. age, resection degree, and comorbidities) and exercise interventions (e.g. type, intensity, frequency, duration) among the trials. In addition, the percentage of the variability in effect estimates due to heterogeneity was

evaluated using the I-squared test (I²), which is suitable in this review because it is independent of the numbers of studies. The interpretation of I² is that a higher percentage indicates statistical heterogeneity, with tentative limits as "low" at 25%, "moderate" at 50% and "high" at 75%, considered substantial heterogeneity above 50% (29). A random-effects model, using the method of DerSimonian & Laird (30), was chosen if the clinical heterogeneity or I² was evaluated to be high.

The follow-up closest to 12 weeks was designated as short-term and a long follow-up exceeding 6 months was designated as long-term.

A sensitivity analysis was conducted to assess the effect of trials initiating exercise interventions early (within the first 2 weeks after lung resection) compared with the effect of trials initiating exercise interventions later than 2 weeks after lung resection. This analysis was conducted on short-term outcomes. The 2-week time limit was a pragmatic approach based on clinical reflections on tissue healing and when stressing the operation wound by exercising would be safe. The purpose of this sensitivity analysis was to aid in identifying the optimal time-point to initiate postoperative rehabilitation after lung resection in patients with NSCLC. Since no evidence was available to guide this decision, a thoracic surgeon specialist made the assessment. Outcomes included in the "summary of findings" table were exercise capacity and HRQoL domains. Quality of evidence was assessed for each outcome by grading evidence according to the Grade of Recommendation, Assessment, Development and Evaluation Working Group (31).

Statistical analyses and forest plots were generated in StataCorp 2013, Stata Statistical Software: Release 13 (StataCorp LP, College Station, TX, USA). Risk of bias summary and graphs were generated with Review Manager software (RevMan), version 5.3, Copenhagen, Denmark: The Nordic Cochrane Centre, The Cochrane Collaboration, 2014. The summary of findings listed in Table II was conducted with GRADEpro GDT (GRADEpro Guideline Development Tool software, McMaster University, 2015 (developed by Evidence Prime, Inc.))

RESULTS

Electronic databases were searched on 17 February 2016 and resulted in a total of 6,191 hits: 1,641 from MEDLINE, 3,291 from Embase, 270 from the Cochrane Central Register of Controlled Trials (CENTRAL), 970 from CINAHL and 19 from PEDro. The number of duplicates was 1,661, resulting in 4,530 unique articles. Based on the title and abstract, 4,464 articles were excluded, resulting in 66 articles read in full text. Of these, 62 studies were excluded as they did not meet the inclusion criteria. The present review included 4 RCTs involving 262 participants (32–35). Three of the studies randomized the participants into 1 of 2 groups: an exercise intervention or a control group (32–34). The fourth study randomized the participants into 1 of 3 groups: exercise intervention 1, exercise intervention 2 or a control group (35). This study was divided into 2 studies in the analyses, in which each intervention group was compared with half the control group (14).

Twelve studies were in a language other than those listed in our criteria and 3 had missing data. The first

Table II. Summary of findings for main comparisons

Exercise training compared with usual care for patients with non-small cell lung cancer (NSCLC) undergoing lung resection						
Patient or population: patients with NSCLC undergoing lung resection						
Setting: varied						
Intervention: exercise training						
Comparison: usual care						
Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	Number of participants (studies)	Quality of the evidence (GRADE)	Comments
	Risk with usual care	Risk difference with exercise training				
Exercise capacity – short term Assessed with: VO ₂ peak and 6MWD Follow-up: range 12–20 weeks	–	SMD 0.47 SD higher (0.07 higher to 0.88 higher)	–	234 (4 RCTs)	⊕⊕○○ LOW ^{a,b,c}	Sensitivity analysis: no effect of early-initiated exercise intervention (within 2 weeks post-surgery) on exercise capacity in the short-term (1 study only) (SMD 0.09; 95% CI –0.57 to 0.74)
Exercise capacity – long term Assessed with: 6MWD Follow-up: 1 year	–	SMD 0.09 SD higher (0.44 lower to 0.61 higher)	–	56 (1 RCT)	⊕⊕○○ LOW ^{b,d}	
HRQoL physical component – short term Assessed with: SF-36 and EORTC QLQ-C30 Follow up: range 12–20 weeks	–	SMD 0.51 SD higher (0.22 higher to 0.80 higher)	–	145 (3 RCTs)	⊕⊕○○ LOW ^{b,d,e}	
HRQoL physical component – long term Assessed with: SF-36 Follow-up: 1 year	–	SMD 0.27 SD lower (0.78 lower to 0.25 higher)	–	58 (1 RCT)	⊕⊕○○ LOW ^{b,d}	
HRQoL mental component – short term Assessed with: SF-36 Follow-up: range 10–20 weeks	–	SMD 0.53 SD higher (0.78 lower to 1.83 higher)	–	97 (2 RCTs)	⊕○○○ VERY LOW ^{b,c,d,e}	
HRQoL mental component – long term Assessed with: SF-36 Follow-up: 1 year	–	SMD 0.48 SD lower (1.01 lower to 0.04 higher)	–	58 (1 RCT)	⊕⊕○○ LOW ^{b,d}	

*Risk in intervention group (and its 95% confidence interval (95% CI)) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI). CI: confidence interval; SMD: standardized mean difference; VO₂peak: peak oxygen consumption; 6MWD: 6-minute walk distance; HRQoL: health-related quality of life; SF-36: 36-Item Short Form Health Survey; EORTC QLQ-C30: European Organization for Research and Treatment of Cancer Quality of Life Questionnaire. *GRADE Working Group grades of evidence* High quality: We are very confident that the true effect lies close to the estimated effect. Moderate quality: We are moderately confident in the effect estimate. The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different. Low quality: Our confidence in the effect estimate is limited. The true effect may be substantially different from the estimated effect. Very low quality: We have very little confidence in the effect estimate. The true effect is likely to be substantially different from the estimated effect. ^aLack of blinding of outcome assessment. ^bLack of blinding of participants and personnel. ^cHigh heterogeneity in effect estimates. ^dWide CI. ^eSelective reporting. ⊕⊕⊕⊕High quality: We are very confident that the true effect lies close to the estimated effect. ⊕⊕⊕○Moderate quality: We are moderately confident in the effect estimate. ⊕⊕○○Low quality: Our confidence in the effect estimate is limited. ⊕○○○Very low quality: We have very little confidence in the effect estimate.

of the last-mentioned studies was contacted, but it was unable to provide postoperative measurements of exercise capacity (36); the second was a conference article that was insufficient due to low completion of the intervention and a high number of missing data (37); and the third was unable to provide the missing data on exercise capacity and HRQoL (38). Fig. 1 presents a flowchart of the search process based on the PRISMA template (15). Details of the excluded references are shown in Appendix SIII¹.

The studies, based in the UK, Denmark, Belgium and Norway, were published in 2011–2015. Two of the studies conducted the interventions in a hospital setting after discharge (33, 35), while participants in one study exercised during admission with subsequent home training (32). In the fourth study the intervention was conducted in local fitness centres after discharge (34).

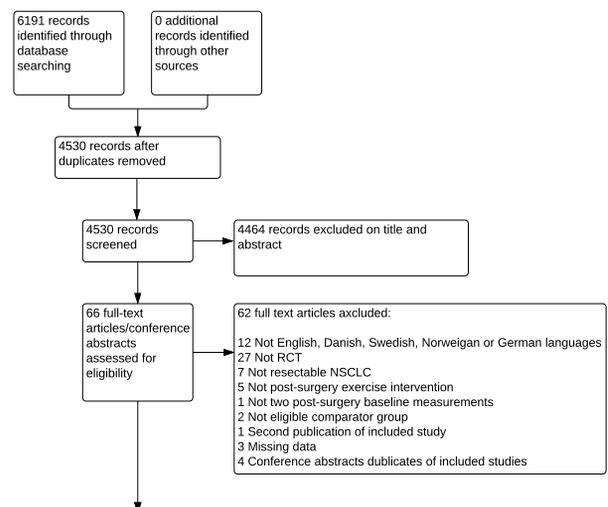


Fig. 1. Study flow diagram. RCT: randomized controlled trial; NSCLC: non-small cell lung cancer.

Table III. Characteristics of included studies and participants

Reference	Number Ex/c	Mean age, years	Female (n)	Surgery (n) Thor/VATS	Additional treatment	Primary outcome	Secondary outcome	Baseline	Follow-up	Analysis
Arbane 2011 et al. (32)	27/26	65.4/62.6	NR	51/2	NR	EORTC QLQ-LC13 ^c	6MWD	Day 5 (originally pre-operative)	12 weeks	Per protocol
Brocki 2014 et al. (33)	41/37	64/65	32/46	60/18	Any adjuvant: 16/18	SF-36 physical SF-36 mental	6MWD	3 weeks	4 months + 1 year	ITT
Edvardsen 2015 et al. (34)	30/31	64.4/65.9	33/28	51/10	Radio: 3/4 Chemo: 9/9	VO ₂ peak (n = 30/31)	SF-36 physical (n = 14/16) SF-36 mental (n = 14/16)	4–6 weeks	20 weeks	ITT: VO ₂ peak Per protocol: SF-36 + EORTC ⁷ (unpublished data)
Salhi 2015 et al. (35)	^a 24/24 ^b 22/24	^a 63/64 ^b 60/64	^a 6/6 ^b 7/6	NR	Radio: ^a 3/1 ^b 0/1 Radio+chemo: ^a 3/5 ^b 4/5	6MWD	VO ₂ peak EORTC QLQ-C30 physical functioning	Within 8 weeks	12 weeks	ITT: 6MWD Per protocol: EORTC

^aintervention group 1, ^bintervention group 2, ^cnot included in review due to absence of 2 postoperative measurements.c: control group; Ex: exercise group; NR: not reported; Thor: thoracotomy; VATS: video-assisted thoracoscopic surgery; Radio: radiotherapy; Chemo: chemotherapy; EORTC: European Organization for Research and Treatment of Cancer Quality of Life Questionnaire; SF-36: 36-Item Short Form Health Survey; VO₂peak: peak oxygen consumption; 6MWD: 6-minute walk distance; ITT: intention-to-treat.

All 4 studies included mainly participants who had undergone lung resection for NSCLC. Both sexes were included and the mean age was over 60 years in all study groups. The majority of the participants went through open surgery (range 77–96%), and the lung cancer stages were equally distributed between control and exercise groups, except in one study, where 4 participants with stage IV NSCLC were randomized to the control group and none to the exercise group. For a summary of included studies see Tables III and IV.

Exercise interventions included a component with aerobic exercise and resistance training, except for intervention 2 in the 3-armed RCT, which included exercise and whole-body vibration (35).

The intervention components varied in intensity, frequency and duration across studies. The intensity of the

aerobic exercises, which consisted of either walking or biking, varied from 60% to 95% of maximum heart rate. The frequency of training varied from 1 session per week for 10 weeks (33) to 3 times a week for 12 (35) or 20 (34) weeks. The exercise interventions were initiated after 5 days (32), 3 weeks (33), 4–6 weeks (34) or within 8 weeks (35) following lung resection.

Baseline measurements in Arbane et al.'s study (32) were conducted pre-surgery, since the study initiated exercise pre-surgery until day 5 post-surgery. In order to rule-out variance due to operation outcomes we decided in the present study to use the first measurement on day 5 post-surgery as the “baseline” in the present review.

In addition, the results by Arbane et al. (32) showed no statistically or clinically effect of early exercise

Table IV. Characteristics of included exercise interventions

Reference	Length of intervention	Duration of session	Exercise type	Intensity	Frequency	Supervised/ unsupervised	Inpatient/ outpatient	Group/ individual	Control group
Arbane 2011 et al. (32)	12 weeks (+5 days)	5–10 min per exercise	Aerobic (walking, marching, recumbent bike) + resistance (weights)	60–80% MHR	2×/day	Both	Both	Individual	Monthly phone calls from research team, providing education ^c
Brocki 2014 et al. (33)	10 weeks	5–15 min warm-up 10–20 min aerobic 10–15 min resistance 10 min cool down	Aerobic (walking, bike) + resistance (thera-band and own body weight) + cool down + (encouraged to exercise at home)	Warm-up: RPE 7–12 Aerobic: RPE 11–12 → 13–16 Resistance: 6–10 rep 1–2 sets Cool down: RPE 6	1×/week	Supervised	Outpatient	Group	Instructed in home exercise: strength 2×/week + daily 30 min walk/bike RPE 11–12
Edvardsen 2015 et al. (34)	20 weeks	60 min	Warm-up + aerobic (walking uphill on treadmill), progressive resistance training (machines), inspiratory muscle training	Aerobic: 80–95% MHR Resistance: 6–12 RM	3×/week	Supervised	Outpatient	Individual	No advice about exercise beyond general information from the hospital
Salhi 2015 et al. (35)	12 weeks	20 min aerobic ^{a+b} NR in resistance ^a 30 s for each vibration exercise ^b	Aerobic ^{a+b} (bike or treadmill) Resistance ^a (machines) Whole-body vibration ^b (vibration platform)	Aerobic ^{a+b} : 70% of Wmax Resistance ^a : 50% of 1 RM Vibration ^b : 27 Hz	3×/week	Supervised	Outpatient	Group	Discouraged to improve their exercise tolerance with professional help

^aintervention 1, ^bintervention 2, ^ceducation content unknown. MHR: maximum heart rate; RPE: Borg Rating Perceived Exertion (range 6–20); REP: repetitions; RM: repetition max; Wmax: watt max.

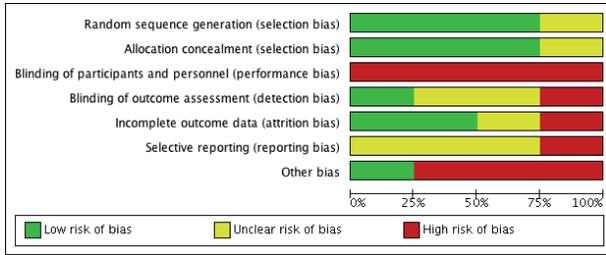


Fig. 2. Risk of bias graph: review authors’ assessment of each risk of bias item presented as percentages across all included studies.

captured by the day 5 post-surgery measurement, even though the intervention group had a mean distance that was 28 m longer than the control group (measured at day 5 post-operatively). The minimal important difference in the 6MWD in patients with lung cancer is 42 m (39).

The control groups received usual care, consisting of a monthly telephone call from the research team, which provided education (32), 1 h of individual instruction in home exercises (33), no advice beyond general information from the hospitals (34) and even discouraged to improving their exercise tolerance with professional help (35).

Exercise capacity was reported in all 4 studies, 2 of which reported VO₂peak (34, 35) and 3 of which reported 6MWD (32, 33, 35). HRQoL was reported in 3 studies, 2 of which reported SF-36 in physical- and mental component scores (33, 34), and 1 of which reported the physical functioning score of the EORTC QLQ-C30 (35). One study did only have one measurements of HRQoL post-surgery, which is why that outcome was not extracted (32). All of the studies had collected a short-term follow-up at completion of their interventions, and 1 study had collected an additional long-term follow-up 1 year after baseline (33).

The overall assessment of risk of bias across the studies is presented in Fig. 2, while the risk of bias for the individual studies is presented in Appendix SIII¹.

All of the studies reviewed were at high risk of performance bias because blinding of participants is difficult in exercise interventions. The majority of studies were at high or unclear risk of detection bias and reporting bias as the outcome assessors were not blinded and the relevant predefined outcomes were not evaluated due to lack of completion of outcome measures or no trial registry. The section called “Summary of included studies” in Appendix SIV¹ contains a detailed description of the assessment of risk of bias.

Effect of intervention

All meta-analyses were conducted using a random-effects model after assessment of clinical heterogeneity between studies, especially in exercise interventions.

VO₂peak is considered the gold standard measurement of cardiorespiratory fitness research, therefore when both measurements were available VO₂peak was chosen. Results at completion of the intervention periods, stated as *short-term*, showed a significantly higher exercise capacity in the intervention group compared with the control group (SMD 0.48; 95% CI 0.04–0.93), reflecting a small-to-moderate effect size (Fig. 3). The I² was 61.6%, suggesting moderate variations between intervention effects. The study with a long-term follow-up showed no effect on exercise capacity after 1 year from baseline (SMD 0.09; 95% CI –0.44 to 0.61), the results are not illustrated.

The SF-36 physical component score was pooled in a meta-analysis with the EORTC QLQ-C30 physical functioning score. The physical component of HRQoL was significantly higher in the intervention group

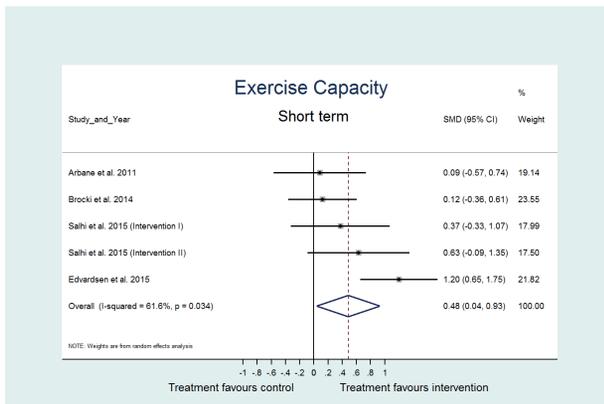


Fig. 3. Forest plot: effect of exercise capacity in exercise group vs control group (short term). SMD: standardized mean difference; 95% CI: 95% confidence interval.

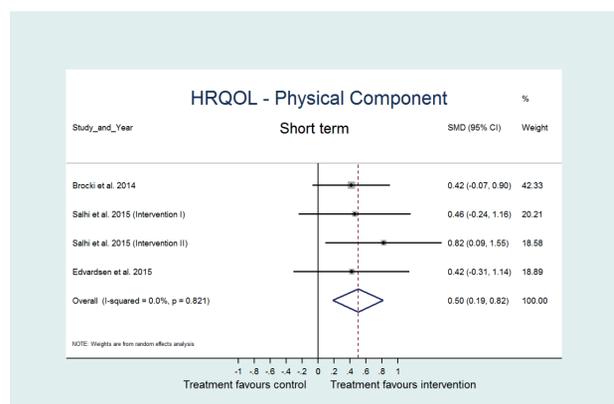


Fig. 4. Forest plot: effect of the physical component of health-related quality of life (HRQoL) in exercise group vs control group (short term). SMD: standardized mean difference; 95% CI: 95% confidence interval.

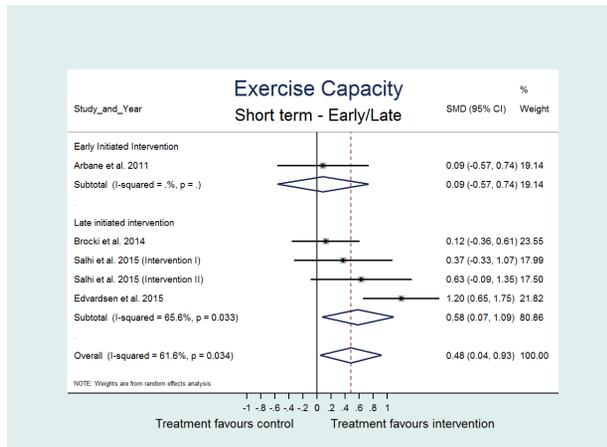


Fig. 5. Forest plot: early or late initiated exercise interventions in exercise group vs control group (short term). SMD: standardized mean difference; 95% CI: 95% confidence interval.

compared with the control group (SMD 0.50; 95% CI 0.19–0.82) in the short-term, reflecting a moderate effect size (Fig. 4). The I^2 was 0%, suggesting a small variation between intervention effects.

According to the one study with long-term follow-up, there was no effect on the physical component of HRQoL in the long-term (SMD -0.27; 95% CI -0.78 to 0.25). The results are not illustrated in the present review. The SF-36 mental component score was reported in 2 studies and pooled in a meta-analysis. There was no effect on the mental component of HRQoL (SMD 0.53; 95% CI -0.78 to 1.83) in the short-term, nor at long-term follow-up (SMD -0.48; 95% CI -1.01 to 0.04). The results are not illustrated.

Only one study initiated exercise intervention early, within 2 weeks after surgery (32). This study showed no effect on exercise capacity in the short-term (SMD 0.09; 95% CI -0.57 to 0.74), as illustrated in Fig. 5. In 3 studies that initiated exercise interventions later (33–35), >2 weeks after surgery, in contrast, the exercise capacity was significantly higher in the intervention group compared with the control group in the short-term (SMD 0.58; 95% CI 0.07–1.09), reflecting a moderate effect size (Fig. 5). There was no difference between effect of late and early initiated exercise intervention. The I^2 for exercise interventions initiated late was 65.6%, suggesting moderate variation between intervention effects.

The main findings and quality of the body of evidence for each result is presented in the summary of findings (Table II).

DISCUSSION

This meta-analysis showed that exercise improved exercise capacity and the physical component of HRQoL in the short-term, but no beneficial effect was found

on the mental component of HRQoL. Only one study evaluated the long-term effects and found no effect on either physical capacity or HRQoL (33). Early-initiated exercise programmes, within 2 weeks after lung resection, did not show an effect on exercise capacity. These findings must be interpreted with caution due to the heterogeneity of exercise programmes, methodological limitations, some significant risks of bias, small samples and the low number of studies included.

Overall completeness and applicability of evidence

As exercise capacity appears to be a valuable prognostic indicator for patients with NSCLC, and the clinical finding that exercise capacity increases during exercise in patients following lung resection is highly important (40). Until now referral for rehabilitation following lung resection has been based on an individual evaluation of rehabilitation needs. Numbers of referrals made is unpublished, but a survey from Australia and New Zealand reports that <25% of patients are referred to pulmonary rehabilitation after lung resection (41). The inadequate level of referrals is due to the lack of well-designed studies to confirm the role of supervised exercise training in facilitating postoperative recovery in this patient population (41).

The large variations in the exercise programmes, in terms of moderate-to-high intensity ranging from 60% to 90% of heart rate maximum (HRmax), frequency ranging from twice a day to 3 times a week, and duration from 5 min per exercise to a 60-min full session, influence the findings in the present review and limit the clinical applicability.

Differences in physical activity recommendations across the control groups may have influenced the effect sizes. Potential contamination of exercise in some of the control groups may have influenced, and most likely reduced, the effect of the relevant studies.

The present review did not clarify whether early initiation of exercise, within 2 weeks following lung resection, is more effective than exercise initiated later, which was hypothesized *a priori*. One study initiated exercise intervention within 2 weeks following surgery and therefore this analysis may not illustrate the true effect. Thus, the study contained a home-based non-supervised training programme following discharge, which potentially could have lowered adherence to the intervention, reducing its effect (32).

As a result it is not possible to evaluate whether early-initiated exercise training will improve the effect in exercise capacity and HRQoL.

The recruitment rates were low in 2 out of 6 studies (33, 35), potentially having caused a selected group of participants, e.g. the healthiest, the youngest and

the fittest, to participate compared with those uninterested. Characteristics of the populations in the studies are therefore not necessarily consistent with a representative sample of patients with operable NSCLC. Information about eligible patients not interested in participating would be of great clinical interest with regard to applicability.

Quality of evidence

The quality of evidence provided by the studies included in the analysis has been rated, according to GRADE, as low or very low, mainly because of some serious risks of bias, inconsistency in effect estimates and imprecision, as the small sample sizes caused wide confidence intervals. Consequently, the results must be interpreted with caution.

Strengths and limitations of the review process

A strength of this review is the comprehensive literature search by 2 review authors, which optimized sufficient identification of relevant studies, and successfully obtaining missing data.

In addition, the investigative work done to find ongoing, unpublished studies by searching trial registrations and contacting recognized authors in the research field improves the probability that all relevant studies were found. The number of studies excluded due to the language criteria, however, probably limited the inclusion of further studies. The 3 studies excluded due to missing data also represent a limitation, as their effect estimates could have influenced the results of this review.

One of the excluded studies found that 6MWD improved by 35 m from preoperative baseline to post-intervention, the control group showed a decline by 59 m ($p=0.024$) (38). Another study found no differences between the intervention group (homebased exercise plus 5 days with exercise in hospital) and the control group (36). What the 2 studies have in common is no post-operative baseline-outcomes to eliminate the impact of surgery; therefore it is difficult to compare the results with the results of this review.

The excluded study by Jacobsen et al. was a conference article that did not report any results (37).

If the pooled data from the various instruments (VO_2 peak and 6MWD) used to assess exercise capacity did not measure the same outcomes, the results of the review may be biased. A recent study shows a difference in pulmonary oxygen when comparing 6MWD and the cardiopulmonary exercise test in patients with NSCLC following lung resection (17).

Studies on patients diagnosed with either chronic obstructive pulmonary disease or chronic heart failure, in contrast, found no differences in VO_2 peak obtained

using either the pulmonary oxygen uptake test or the 6MWD (42–44).

Including the most commonly used and recommended questionnaires for measuring HRQoL in thoracic surgery patients could represent a strength as well as a limitation. The decision was made to maintain a high level of methodological quality, which is why the 3 questionnaires listed were considered useful for the population, though SF-36 has not been validated for patients with lung cancer. A limitation is the pooled data from the physical component domain of HRQoL, since it was assessed using instruments with different target groups, as one was a generic HRQoL questionnaire (SF-36) and the other a cancer-specific HRQoL questionnaire (EORTC QLQ-C30).

The EORTC QLQ-C30 physical functioning domain has shown a substantial convergent correlation with all of the 4 SF-36 physical summary component subdomains ($r>0.50$) (45). The moderate similarity of the 2 instruments may have biased the result of the physical component of HRQoL, presenting the risk of an overestimate or underestimate of real effect.

The use of imported correlation coefficients in the calculation of SDs of mean differences in 2 of the included studies may have had an impact on the results. These SDs are estimates calculated based on changes found in previous studies with similar populations of patients with other cancer diagnoses (26). The SF-36 scores in that study were also reported to be similar to SF-36 scores for patients with chronic diseases, such as chronic obstructive pulmonary disease, hypertension and congestive heart failure (26). This method of estimating the SDs could decrease the reliability of the reported results, due to an element of imprecision in the estimates (14). However, the estimated SD becomes a better replacement of the real SD compared with the use of an unpaired SD of the mean change.

Agreements and disagreements with other studies or reviews

A significant improvement in 6MWD was confirmed in a previous systematic review evaluating the effect of exercise interventions in patients with NSCLC following lung resection (12). In contrast baseline outcome measures from pre- and post-surgery were included where the present review has all outcome measures conducted post-surgery to eliminate the impact of lung surgery and focus entirely on the effect of rehabilitation. In addition, the present review included 2 new RCTs with a total of 131 participants. Other previous systematic reviews confirmed that both pre- and post-operative exercise was associated with positive benefits on exercise capacity and some domains of HRQoL (6, 46). Different study designs were included in the pre-

vious reviews, including a few RCTs, only one of which met the inclusion criteria of the current review (32).

During completion of the current review, a new review by Ni et al. (13) (evaluating the effects of pre- and post-operative exercise interventions) was published supporting our findings, in contrast their review included all study designs, as well as pre- and post-operative measurements.

The present review does not find that exercise improves the mental domain of HRQoL compared with usual care for patients having undergone lung resection; however, there might be a trend towards the direction of positive effects, but the confidence intervals indicate that these effects are very uncertain. The findings are in contrast to previous research in patients with COPD, patients with other cancer diseases and qualitative research in patients undergoing lung resection for NSCLC (9). One of the possible reasons for this is how HRQoL was assessed, as SF-36 is a generic HRQoL questionnaire, or the lack of statistical power in the studies in the present review.

This review is the first to examine the effects of postoperative exercise training in patients with NSCLC following lung resection with inclusion of postoperative outcome measurements alone. This method does not include the involvement of the surgery on the outcomes. Inclusion of solely RCTs improves the quality of evidence. Moreover, this review is the first to focus on the effects of early-initiated exercise, although, due to lack of studies, this analysis did not lead to any conclusion regarding this question.

Conclusion

This review found that exercise may have beneficial effects on exercise capacity and the physical component of HRQoL among patients following lung resection for NSCLC. However, since there are risks of bias, inconsistency and imprecision of findings, these results should be interpreted with caution.

Despite the overall low quality of the body of evidence, health professionals should consider referring patients with NSCLC to an exercise programme following lung resection. Further research is needed to confirm the efficacy of exercise intervention in people with NSCLC, and whether these effects can be maintained beyond the active intervention period. Additional research is required to investigate when exercise intervention should be initiated following lung resection to obtain the best result and prevent or minimize postoperative impairments. This knowledge may contribute to the design of future exercise research in patients with operable NSCLC.

ACKNOWLEDGEMENTS

This review is supported by grants from the Center for Integrated Rehabilitation of Cancer Patients (CIRE), which is supported by the Danish Cancer Society and the Novo Nordisk Foundation. Funding has also been provided by Rigshospitalet, University of Copenhagen; the Faculty of Health and Medical Sciences, University of Copenhagen; and the City of Copenhagen. CopenRehab is supported by a grant from the City of Copenhagen.

The authors have no conflicts of interest to declare.

REFERENCES

1. Jemal A, Bray F, Ferlay J. Global cancer statistics. *Ca Cancer J Clin* 2011; 61: 69–90.
2. Collins LG, Haines C, Perkel R, Enck RE. Lung cancer: diagnosis and management. *Am Fam Physician* 2007; 75: 56–63.
3. Jakobsen E, Green A, Oesterlind K, Rasmussen TR, Iachina M, Palshof T. Nationwide quality improvement in lung cancer care: the role of the Danish Lung Cancer Group and Registry. *J Thorac Oncol* 2013; 8: 1238–1247.
4. Kenny PM, King MT, Viney RC, Boyer MJ, Pollicino CA, McLean JM, et al. Quality of life and survival in the 2 years after surgery for non small-cell lung cancer. *J Clin Oncol* 2008; 26: 233–241.
5. Handy JR, Asaph JW, Skokan L, Reed CE, Koh S, Brooks G, et al. What happens to patients undergoing lung cancer surgery? Outcomes and quality of life before and after surgery. *Chest* 2002; 122: 21–30.
6. Crandall K, Maguire R, Campbell A, Kearney N. Exercise intervention for patients surgically treated for Non-Small Cell Lung Cancer (NSCLC): a systematic review. *Surg Oncol* 2014; 23: 17–30.
7. Brunelli A, Kim AW, Berger KI, Addrizzo-Harris DJ. Physiologic evaluation of the patient with lung cancer being considered for resectional surgery. *Chest* 2013; 143: 166–190.
8. Bobbio A, Chetta A, Ampollini L, Primomo GL, Internullo E, Carbognani P, et al. Preoperative pulmonary rehabilitation in patients undergoing lung resection for non-small cell lung cancer. *Eur J Cardiothorac Surg* 2008; 33: 95–98.
9. Missel M, Pedersen JH, Hendriksen C, Tewes M, Adamsen L. Exercise intervention for patients diagnosed with operable non-small cell lung cancer: a qualitative longitudinal feasibility study. *Support Care Cancer* 2015; 23: 2311–2318.
10. Sterzi S, Cesario A, Cusumano G, Dall'Armi V, Lapenna LM, Cardaci V, et al. Post-operative rehabilitation for surgically resected non-small cell lung cancer patients: Serial pulmonary functional analysis. *J Rehabil Med* 2013; 45: 911–915.
11. Zhang Y-M, Lu Y, Tang Y, Yang D, Wu H-F, Bian Z-P, et al. The effects of different initiation time of exercise training on left ventricular remodeling and cardiopulmonary rehabilitation in patients with left ventricular dysfunction after myocardial infarction. *Disabil Rehabil* 2016; 38: 268–276.
12. Cavalheri V, Tahirah F, Nonoyama M, Jenkins S, Hill K. Exercise training for people following lung resection for non-small cell lung cancer – a Cochrane systematic review. *Cancer Treat Rev* 2014; 40: 585–594.
13. Ni H-J, Pudasaini B, Yuan X-T, Li H-F, Shi L, Yuan P. Exercise training for patients pre- and postsurgically treated for non-small cell lung cancer: a systematic review and meta-analysis. *Integr Cancer Ther* 2017; 16: 63–73.
14. Higgins J, Green S. *Cochrane Handbook for systematic reviews of interventions*. Version 5.1.0. 2011. [Accessed 2016 Feb 15] Available from: <http://www.cochrane-handbook.org>.
15. Moher D, Liberati A, Tetzlaff J, Altman DG, and the PRISMA Group. Preferred reporting items for systematic reviews

and meta-analyses: the PRISMA statement. *Ann Intern Med* 2009; 339: 332–336.

16. Jones LW, Eves ND, Haykowsky M, Joy AA, Douglas PS. Cardiorespiratory exercise testing in clinical oncology research: systematic review and practice recommendations. *Lancet Oncol* 2008; 9: 757–765.
17. Cavalheri V, Jenkins S, Cecins N, Gain K, Hill K. Comparison of the six-minute walk test with a cycle-based cardiopulmonary exercise test in people following curative intent treatment for non-small cell lung cancer. *Chron Respir Dis* 2016; 13: 118–127.
18. Singh SJ, Puhan MA, Andrianopoulos V, Hernandez NA, Mitchell KE, Hill CJ, et al. An official systematic review of the European Respiratory Society/American Thoracic Society: measurement properties of field walking tests in chronic respiratory disease. *Eur Respir J* 2014; 44: 1447–1478.
19. K. Schmidt, L. Vogt, C. Thiel, E. Jäger WB. Validity of the six-minute walk test in cancer patients. *Int J Sports Med* 2013; 34: 631–336.
20. Ware JE, Sherbourne CD. The MOS 36-item short-form health survey (SF-36). I. Conceptual framework and item selection. *Med Care* 1992; 30: 473–483.
21. Aaronson N. The European Organization for Research and Treatment of Cancer QLQ-C30: a quality-of-life instrument for use in international clinical trials in oncology. *Natl Cancer* 1993; 85: 365–376.
22. Cella DF, Bonomi a E, Lloyd SR, Tulsky DS, Kaplan E, Bonomi P. Reliability and validity of the Functional Assessment of Cancer Therapy-Lung (FACT-L) quality of life instrument. *Lung Cancer* 1995; 12: 199–220.
23. Follmann D, Elliott P, Suh I, Cutler J. Variance imputation for overviews of clinical trials with continuous response. *J Clin Epidemiol* 1992; 45: 769–773.
24. Abrams KR, Gillies CL, Lambert PC. Meta-analysis of heterogeneously reported trials assessing change from baseline. *Stat Med* 2005; 24: 3823–3844.
25. Scott JM, Hornsby WE, Lane A, Kenjale AA, Eves ND, Jones LW. Reliability of maximal cardiopulmonary exercise testing in men with prostate cancer. *Med Sci Sports Exerc* 2015; 47: 27–32.
26. Heyland DK, Hopman W, Coe H, Tranmer J, McCol MA. Long-term health-related quality of life in survivors of sepsis. Short Form 36: a valid and reliable measure of health-related quality of life. *Crit Care Med* 2000; 28: 3599–3605.
27. Hjermstad MJ, Fossa SD, Bjordal K, Kaasa S. Test/retest study of the European Organization for Research and Treatment of Cancer Core Quality-of-Life Questionnaire. *J Clin Oncol* 1995; 13: 1249–1254.
28. Cohen J. Statistical power analysis for the behavioral sciences. 2nd edition. Hillsdale N, editor. New Jersey, USA: Lawrence Erlbaum Associates; 1988.
29. Higgins JPT, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses. *Br Med J* 2003; 327: 557–560.
30. Dersimonian R, Laird N. Meta-analysis in clinical trials. *Control Clin Trials* 1986; 188: 177–188.
31. Atkins D, Best D, Briss PA, Eccles M, Falck-Ytter Y, Flottorp S, et al. Grading quality of evidence and strength of recommendations. *Br Med J* 2004; 328: 1490.
32. Arbane G, Tropman D, Jackson D, Garrod R. Evaluation of an early exercise intervention after thoracotomy for non-small cell lung cancer (NSCLC), effects on quality of life, muscle strength and exercise tolerance: randomised controlled trial. *Lung Cancer* 2011; 71: 229–234.
33. Brocki BC, Andreasen J, Nielsen LR, Nekrasas V, Gorst-Rasmussen A, Westerdahl E. Short and long-term effects of supervised versus unsupervised exercise training on health-related quality of life and functional outcomes following lung cancer surgery – a randomized controlled trial. *Lung Cancer* 2014; 83: 102–108.
34. Edvardsen E, Skjonsberg OH, Holme I, Nordsletten L, Borchsenius F, Anderssen SA. High-intensity training following lung cancer surgery: a randomised controlled trial. *Thorax* 2015; 70: 244–250.
35. Salhi B, Haenebalcke C, Perez-Bogerd S, Nguyen MD, Ninane V, Malfait TLA, et al. Rehabilitation in patients with radically treated respiratory cancer: a randomised controlled trial comparing two training modalities. *Lung Cancer* 2015; 89: 167–174.
36. Arbane G, Douiri A, Hart N, Hopkinson NS, Singh S, Speed C, et al. Effect of postoperative physical training on activity after curative surgery for non-small cell lung cancer : a multicentre randomised controlled trial. *Physiotherapy* 2014; 100: 100–107.
37. Jakobsen E, Joergensen D, Ladegaard L, Petersen S, Aagaard I, Mikkelsen P. Postoperative rehabilitation and follow-up-a randomized study. *Thorac Oncol* 2013; 8: 390–391.
38. Stigt JA, Uil SM, van Riesen SJH, Simons FJNA, Denekamp M, Shahin GM, et al. A Randomized controlled trial of postthoracotomy pulmonary rehabilitation in patients with resectable lung cancer. *J Thorac Oncol* 2013; 8: 214–221.
39. Granger CL, Holland AE, Gordon IR, Denehy L. Minimal important difference of the 6-minute walk distance in lung cancer. *Chron Respir Dis* 2015; 12: 146–154.
40. Zarogoulidis P, Kerenidi T, Huang H, Kontakiotis T, Tremma O, Porpodis K, et al. Six minute walking test and carbon monoxide diffusing capacity for non-small cell lung cancer: Easy performed tests in every day practice. *J Thorac Dis* 2012; 4: 569–576.
41. Cavalheri V, Jenkins S, Hill K. Physiotherapy practice patterns for patients undergoing surgery for lung cancer: a survey of hospitals in Australia and New Zealand. *Intern Med J* 2013; 43: 394–401.
42. Guyatt GH, Sullivan MJ, Thompson PJ, Fallen EL, Pugsley SO, Taylor DW, et al. The 6-minute walk: a new measure of exercise capacity in patients with chronic heart failure. *Can Med Assoc J* 1985; 132: 919–921.
43. Hill K, Dolmage TE, Woon L, Coutts D, Goldstein R, Brooks D. Comparing peak and submaximal cardiorespiratory responses during field walking tests with incremental cycle ergometry in COPD. *Respirology* 2012; 17: 278–284.
44. Troosters T, Vilaro J, Rabinovich R, Casas A, Barber JA, Rodriguez-Roisin R, et al. Physiological responses to the 6-min walk test in patients with chronic obstructive pulmonary disease. *Eur Respir J* 2002; 20: 564–569.
45. Apolone G, Filiberti A, Cifani S, Ruggiata R, Mosconi P. Evaluation of the EORTC QLQ-C30 questionnaire: a comparison with SF-36 Health Survey in a cohort of Italian long-survival cancer patients. *Int J Oral Sci* 2012; 4: 85–87.
46. Granger CL, McDonald CF, Berney S, Chao C, Denehy L. Exercise intervention to improve exercise capacity and health related quality of life for patients with non-small cell lung cancer: a systematic review. *Lung Cancer* 2011; 72: 139–153.